

Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

UBS AG, Zurich / Switzerland

Name of Transferee

Name and Address where notices
to transferee should be sent:

Bank Vontobel AG, Zurich / Switzerland

Name of Transferor

Court Claim # (if known): SEVERAL

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see
Schedule I): _____

Phone: _____

Last Four Digits of Acct #: _____

Phone: _____

Last Four Digits of Acct. #: _____

Name and Address where transferee
payments should be sent (if different
from above): _____

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: Zürich, January 12, 2021

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Bank Vontobel AG
Gotthardstrasse 43
CH-8022 Zürich

i. Herzman A. S. [Signature]

EVIDENCE OF TRANSFER OF CLAIM

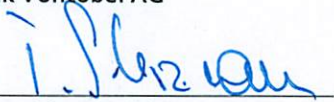
TO: THE DEBTOR AND THE BANKRUPTCY COURT

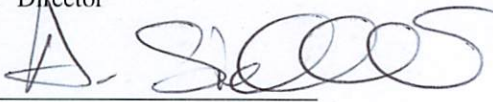
For value received, the adequacy and sufficiency of which are hereby acknowledged, **BANK VONTOBEL AG** ("Transferor") unconditionally and irrevocably transferred to **UBS AG**, ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 555829 AND MORE**) **SEVERAL** in nominal value related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON October 21, 2010.

Bank Vontobel AG

By: 
Name: Thomas Schwerzmann
Title: Director

By: 
Name: Andrea Sieber
Title: Authorized Officer

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim related to Security
XS0187967160	555829.54	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 17,840.85
XS0349857317	555829.79	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 8,000.00
XS0223590612	555829.82	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 8,920.43
XS0337337710	5155829.04	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 20,524.56
XS0320322901	5159233.00	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 6,469.85
XS0306179168	5259233.99	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 27,315.70
XS0260445530	5259233.99	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 25,367.83
XS0306179168	5359233.22	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 45,526.17
XS0324890440	5359233.37	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 191,973.44
XS0328873681	55814.90	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 26,761.28
ANN5214A1118	55814.95	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 85,031.07
CH0027120606	55814.96	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,681.71
XS0337337710	55829.58	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 27,366.09
XS0200265709	55829.65	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 180,081.58
CH0036891361	44593.12	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 16,572.33

Vontobel



United States Bankruptcy Court
Southern District of New York
One Bowling Green
New York, NY 10004-1408
United States

Bank Vontobel AG
Gottthardstrasse 43
8022 Zurich
T +41 58 283 50 56
F +41 58 283 5160
roger.fivat@vontobel.com

CHE-116.300.767 MWST (VAT)

1/1 Zurich, January 12, 2021

Evidence of Transfer of Claim

Dear Sir or Madam

Enclosed please find one form "Evidence of Transfer of Claim" with several positions.

Please note, that the mentioned Lehman Products are deposited in our bank.

Our claim number is: several claim numbers

If you have any questions, don't hesitate to contact us.

Best regards

Bank Vontobel AG

Thomas Schwerzmann
Director

Andrea Sieber
Authorized Officer